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12-09

Attorney's Docket No. 003801.P040

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
)
Reed Maltzman)
)
Application No.: 09/820,574)
)
Filed: March 28, 2001)
)
For: METHOD AND SYSTEM TO)
ENABLE A FIXED PRICE)
PURCHASE WITHIN AN ONLINE)
AUCTION ENVIRONMENT)

Examiner: Not Yet Assigned
Art Unit: 2164

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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

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on September 25, 2001 (Date of deposit)
Lucia Sanchez
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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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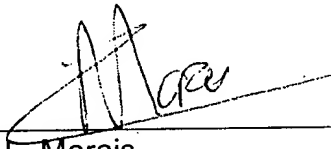
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 09/25/ 2001



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO
(Modified by BSTZ 6/30/99)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P040

Sheet 1 of 9

U.S. PATENT DOCUMENTS

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	Office or Country	Number	Date			
	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
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	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

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		France	2658635-A1	2.6.1991			
		Canada	2,253,543	3/19/1997			Yes

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		PCT	WO99/63461	12/9/1999			
		PCT	WO95/17711	6/29/1995			

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		RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21.	
		"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3.	
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		CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12.	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P040

Sheet 5 of 9

U.S. PATENT DOCUMENTS

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	SCHMID, B.F., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10.	
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	RECK, Martin, "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given.	

Examiner
Signature

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	5,974,412	Hazelhurst, et al.	10/26/1999	9/24/1997
	5,596,994	Bro	1/28/1997	5/2/1994

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Complete if Known

Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P040

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